

1. LVSS Phase 1 Tests - with RBA (Extract)

1.1 Test Categories

Test cases in this program have been categorised as Mandatory, Conditional or Optional. Members may perform multiple iterations of any tests in the following categories:

- **Category 1** - mandatory tests for all Members.
- **Category 2** - conditional response/advice tests (only mandatory if the relevant response or advice has been, or is intended to be, selected for use by the Member).
- **Category 3** - conditional AIF tests (only mandatory if the relevant AIF message is to be used by the Member).
- **Category 4** - conditional RITS functionality tests (only mandatory if the relevant RITS setting or functionality is to be used by the Member).
- **Category 5** – optional tests that Members may choose to execute to test reject response processing.

1.2 Pre Phase 1 Test Checklist

Prior to the start of Phase 1 testing a Member must complete the following tasks:

- Complete all steps detailed in [LVSS Participant Set Up Guide](#);
- Ensure test users are familiar with relevant RITS functionality; and
- Confirm test users have valid a certificate, user logon and password for the RITS Pre-Production environment and that they have been allocated LVSS roles and linked to LVSS branches (as appropriate) by their RITS Password Administrator.

1.3 General Points and Test Assumptions

Members should note the following general points and working assumptions for LVSS testing:

- All RITS testing will occur on the RITS Pre-Production Environment which will be open for settlement processing between 07:00 to 18:30 (winter) or 20:30 (summer) each business day.
- The RITS Help Desk will provide support during normal RITS settlement hours.

- Member RITS settings should mirror current and/or proposed production settings.
- Members will only send FSIs for the Clearing Systems they participate in.
- Phase 1 testing is only conducted by Members that are Originators of FSIs. Members that are only ever the Counterparty to an FSI - in particular the payment services APCT (APCS Tier 1Bs) and CSHD (Cashcard Closed User Group members other than Cashcard Australia) do NOT need to be involved in Phase 1 testing.
- Where a Member uses an agent to create and send FSIs on their behalf, the agent may conduct message testing. The Member will need to conduct settlement testing.
- The RBA test mnemonics of RBV1 and RBV2 are to be used (as Originator or Counterparty) for all Phase 1 tests.
- In a payment services where the Member is always the Originator of an FSI (for example, GABS) RBV1 and RBV2 will not originate FSIs for that payment service.
- If required, Members may request the RBA to increase their ESA balance to assist with settlement of LVSS transactions.
- Unless otherwise specified in a test case, Members should ensure the content of their FSI and FRI files are valid.
- Actual FSI and FRI content is only specified if there is a specific requirement in a Test Case. Other fields not specified may be populated at the Member's discretion.
- Member configuration is not specified unless there is a specific requirement in a Test Case.
- Members will be expected to complete some selected tests outside the 'normal' RITS operational day. The RBA may adjust RITS session times to allow this testing to occur during normal business hours.
- It is expected that Member testing takes into account public holiday testing. Public holidays will be recorded in the RITS Pre-Production Environment meaning that no settlement processing can occur on these days. The RBA can, if requested by Members, add additional 'deemed' public holidays to the RITS Pre-Production environment.
- The summary of test cases includes a column titled 'Linked Test(s)'. This indicates where Members may wish to use a single test to meet two or more test cases. The test cases may be conducted individually if preferred.
- A Member cannot start Phase 2 testing until all relevant tests in Phase 1 have been successfully completed.
- Failover testing is not a requirement of this test program, however if a Member wishes to execute a 'failover' test with the RBA they should contact the RITS Help Desk to make the necessary arrangements.

1.4 Test Case Summary

1.4.1 Mandatory Category 1 Tests

Test Case	Linked Test(s)	Test Description	Test Parameters	Required
Testing of Valid FSIs				
1	43	Create valid (net) Credit Items FSI sent on exchange/clearings date for settlement next day	Member is originator & payer / Payment Date = T / Settlement Date = T+1	BECN, APCR, CECS, GABS APCT - Tier 1A Members only CSDH - Cashcard only
2	43	Create valid (net) Credit Items FSI sent on settlement date with payment date of the previous day	Member is originator & payer / Payment Date = T-1 / Settlement Date = T	BECN, APCR, CECS, GABS APCT - Tier 1A Members only CSDH - Cashcard only
3	43	Create valid (net) Credit Items FSI sent on exchange/ clearings date for settlement next day	Member is originator & payer / Payment Date = T+1 / Settlement Date = T+1	BECG - only Members that initiate outward Govt DE clearing files
4	43	Create valid (net) Credit Items FSI sent on settlement date	Member is originator & payer / Payment Date = T / Settlement Date = T	BECG - only Members that initiate outward Govt DE clearing files
5	43	Create valid (net) Debit Items FSI sent on exchange/clearings date for settlement next day	Member is originator & payee / Payment Date = T / Settlement Date = T+1	BECN, APCE, APCR, CECS, GABS APCT - Tier 1A Members only CSDH - Cashcard only
6	43	Create valid (net) Debit Items FSI sent on settlement date with payment date of the previous day	Member is originator & payee / Payment Date = T-1 / Settlement Date = T	BECN, APCE, APCR, CECS, GABS APCT - Tier 1A Members only CSDH - Cashcard only
7	44	RBA creates valid (net) Debit Items FSI	RBA is originator / Member is payer	BECN, APCE, APCR, CECS
8	44	RBA creates valid (net) Credit Items FSI	RBA is originator / Member is payee	BECN, BECG, APCE, APCR, CECS
9	43	Create valid FSI during Overnight session	FSI sent during RITS Overnight Enquiry session / Member is originator	Any one payment service

Test Case	Linked Test(s)	Test Description	Test Parameters	Required
Testing of Valid FSIs over weekends and Public Holidays				
10		Weekend test	Normal weekend / Member is originator / Payment Date = Friday / Settlement Date = Monday	Any one payment service
11		Public holiday weekend test	Monday is a public holiday / Member is originator / Payment Date = Friday / Settlement Date = Tuesday	Any one payment service
12		Mid week public holiday test	Mid week public holiday / Member is originator / Payment Date = day 1 / Public Holiday = day 2 / Settlement Date = day 3	Any one payment service
Testing of Mandatory FSI Reject Response				
13		Create an invalid FSI and process reject response sent by RITS	FSI invalid / mandatory FSRU1 (Rejected Response) received	ALL
14		Enter an invalid manual FSI and process reject response sent by RITS	Manual FSI invalid / mandatory FSRU1 (Rejected Response) received	Any one payment service
Testing of Valid FRIs				
15	51, 53, 71	Create or enter an FRI to recall an FSI	Member recalls LVSS transaction	Any one payment service
16	54	RBA recalls an FSI where the Member is counterparty	RBA recalls LVSS transaction with Member as Counterparty	Any one payment service
17	56	Create an FRI for an FSI that has already settled (FRI will fail)	Member attempts to recall an FSI that has already settled	Any one payment service
Testing of Mandatory FRI Reject Response				
18		Create an invalid FRI and process reject response	FRI invalid / mandatory FRRU1 (Reject Response) received	ALL
Testing of Manual FSI entry				
19	45	Enter a Credit Items FSI manually on settlement date	Member is originator & payer / Settlement Date = T	Any one payment service
20	45	Enter a Debit Items FSI manually on settlement date	Member is originator & payee / Settlement Date = T	Any one payment service

Test Case	Linked Test(s)	Test Description	Test Parameters	Required
21	45	Enter a Credit Items FSI manually on exchange/clearings date	Member is originator & payer / Settlement Date = T+1	Any one payment service
22	45	Enter a Debit Items FSI manually on exchange/clearings date	Member is originator & payee / Settlement Date = T+1	Any one payment service
Testing of Manual FRI entry				
23	52, 55	Enter a manual FRI	Member recalls unsettled LVSS transaction from RITS queue	Any one payment service
Queue Management – status settings and overrides				
24		RBA enters Debit Items FSI, Member has no override ESA status set	RBA is originator and Member is payer / ESA status override is not set / ESA status defaults to 'deferred'	Any one payment service
25		RBA enters Debit Items FSI, Member has override ESA status set	RBA is originator and Member is payer / ESA status override is set / ESA override status is applied	Any one payment service
26		Member enters Credit Items FSI, Member has a Settlement Method override set	Member is originator & payer / Settlement Method Override is set and applied	Any one payment service
27		RBA enters Debit Items FSI, Member has a Settlement Method override set	RBA is originator / Member is payer / Settlement Method Override is set and applied	Any one payment service
28	47	Member enters Credit Items FSI, uses ESA/Credit Queue Management to change Settlement Method of queued transaction	Member is originator & payer / Member updates Settlement Method in RITS function 'ESA/Credit Queue Mgt' prior to settlement	Any one payment service
Settlement Testing				
29	41, 59, 60, 65	Member enters a BECG FSI which settles with Settlement Method of I	Settled FSI / Member is originator & payer / Settlement Method = I	BECG - only Members that initiate outward Govt DE clearing files
30	42, 68	RBA enters a BECG FSI which settles with Settlement Method of I	Settled FSI / RBA is originator & Member is payee / Settlement Method = I	BECG - All BECS Members
31	41, 59, 60, 65	Member enters Credit Items FSI, settles with Settlement Method of M	Settled FSI / Member is originator & payer / Settlement Method = M	BECN, APCR, GABS APCT - Tier 1A Members only CSHD - Cashcard only

Test Case	Linked Test(s)	Test Description	Test Parameters	Required
32	41, 66	Member enters Debit Items FSI, settles with Settlement Method of M	Settled FSI / Member is originator & payee / Settlement Method = M	BECN, APCE, APCR, CECS, GABS APCT - Tier 1A Members only CSDH - Cashcard only
33	42, 61, 62, 67	RBA enters a Debit Items FSI, settles with Settlement Method of M	Settled FSI / RBA is originator / Member is payer / Settlement Method = M	BECN, APCE, APCR, CECS
34	42, 68	RBA enters a Credit Items FSI, settles with Settlement Method of M	Settled FSI / RBA is originator / Member is payee / Settlement Method = M	BECN, APCR
35	63, 64, 69	Member and RBA enter FSIs, clearing interest transaction settles where Member is payer	Settled 'net debit' Clearing Interest transaction	All payment services except BECG
36	70	Member and RBA enter FSIs, clearing interest transaction settles where Member is payee	Settled 'net credit' Clearing Interest transaction	All payment services except BECG
Settlement Reconciliation				
37		Member and RBA enter multiple FSIs. Member completes pre-settlement reconciliation processes	Pre Settlement / Members to reconcile internal system data to RITS data using functions LVSS Multilateral Position Summary and LVSS Bilateral Position Summary	ALL
38		Member and RBA enter multiple FSIs. Member reconciles projected clearing interest prior to multilateral settlement	Pre Settlement / Members to reconcile 'Projected Clearing Interest' amounts before multilateral run 'lock'	All payment services except BECG
39	77, 78, 79, 80	Member and RBA enter multiple FSIs which settle. Member completes post-settlement reconciliation processes	Post Settlement / Members to reconcile internal system data to RITS data using function LVSS Bilateral Position Summary	ALL
40		Member and RBA enter multiple FSIs which settle with related clearing interest transactions. Member completes post-settlement reconciliation of clearing interest amounts.	Post Settlement / Members to reconcile settled Clearing Interest transactions	All payment services except BECG

1.4.2 Conditional Category 2 Tests – Optional LVSS Responses and Advices

Test Case	Linked Test(s)	Test Description	Test Parameters	Required
Optional settlement response selected – FSRS (Settled)				Mandatory for Members that will select the LVSS message "FSRS"
41	29, 31, 32	Receive and process FSRS (Settled) response for FSI created by Member	FSRS (Settled) is selected / Member is originator	ALL
42	30, 33, 34	Receive and process FSRS (Settled) response for FSI created by RBA	FSRS (Settled) is selected / RBA is originator	ALL except GABS, APCT, CSHD
Optional advice selected - FSA1 (Accepted)				Mandatory for Members that will select the LVSS message "FSA1"
43	1, 2, 3, 4, 5, 6, 9,	Receive and process FSA1 (Accepted) response for FSI created by Member	FSA1 (Accepted) is selected / Member is originator	ALL
44	7, 8	Receive and process FSA1 (Accepted) response for FSI created by RBA	FSA1 (Accepted) is selected / RBA is originator	ALL except GABS, APCT, CSHD
45	19, 20, 21, 22	Receive and process FSA1 (Accepted) response for manual FSI entered by Member	FSA1 (Accepted) is selected / Manual FSI entered by Member as originator	ALL
46		Receive and process FSA1 (Accepted) response for manual FSI entered by RBA	FSA1 (Accepted) is selected / Manual FSI entered by RBA as originator	ALL except GABS, APCT, CSHD
Optional advice selected – FSA2 (Changed SM)				Mandatory for Members that will select the LVSS message "FSA2"
47	28	Receive and process FSA2 (Changed SM) response for FSI created by Member	FSA2 (Changed SM) is selected / Member is originator & payer	ALL except APCE, CECS
48		Receive and process FSA2 (Changed SM) response for FSI created by RBA	FSA2 (Changed SM) is selected / RBA is originator & Member is payer	ALL except GABS, APCT, CSHD
Optional response selected - FSRU3 (Unsettled at EOD)				Mandatory for Members that will select the LVSS message "FSRU3"
49	75	Receive and process FSRU3 (Unsettled EOD) response for FSI created by Member	FSRU3 (Unsettled EOD) selected / transaction remains unsettled at EOD / Member is originator	ALL

Test Case	Linked Test(s)	Test Description	Test Parameters	Required
50	76	Receive and process FSRU3 (Unsettled EOD) response for FSI created by RBA	FSRU3 (Unsettled EOD) selected / transaction remains unsettled at EOD / RBA is originator	ALL except GABS, APCT, CSHD
Optional recall response selected - FRRS (Successful)				Mandatory for Members that will select the LVSS message "FRRS"
51	15	Receive and process FRRS (Successful) response for FRI generated by Member	FRRS (Successful) is selected / Member recalls transaction from RITS queue	ALL - only required if the Member will create and send file based FRIs
52	23	Receive and process FRRS (Successful) response for manual FRI entered by Member	FRRS (Successful) is selected / Manual FRI is entered by Member which recalls transaction from RITS queue	ALL
Optional response selected - FSRU2 (Recalled)				Mandatory for Members that will select the LVSS message "FSRU2"
53	15	Receive and process FSRU2 (Recalled) response for FRI generated by Member	FSRU2 (Recalled) is selected / Member recalls transaction from RITS queue	ALL - only required if the Member will create and send file based FRIs
54	16	Receive and process FSRU2 (Recalled) response for FSI created and recalled by RBA	FSRU2 (Recalled) is selected / RBA recalls transaction from RITS queue	ALL except GABS, APCT, CSHD
55	23	Receive and process FSRU2 (Recalled) response for manual FRI entered by Member	FSRU2 (Recalled) is selected / manual FRI entered / Member recalls transaction from RITS queue	ALL
Optional response selected - FRRU2 (Failed)				Mandatory for Members that will select the LVSS message "FRRU2"
56	17	Receive and process FRRU2 (Failed) response for FRI generated by Member	FRRU2 (Failed) is selected / Member attempts to recall a transaction that has already settled	ALL

1.4.3 Conditional Category 3 Tests - AIF

Test Case	Linked Test(s)	Test Description	Test Parameters	Required
AIF Commands - Queue Management				Unless otherwise noted only one message test is required using any payment service
57		Send a Change ESA Status Request (or a Change ESA and Credit Status Request) to change the ESA status of a queued Credit Items FSI created by the Member	Member is originator & payer / update ESA Status with AIF command MT198 SMT004 or MT198 SMT031	Mandatory for Members that will use the either the Change ESA Status Request (MT198 SMT004) or Change ESA and Credit Status Request (MT198 SMT031) AIF command to manage Credit Items FSIs that it originates
58		Send a Change ESA Status Request (or a Change ESA and Credit Status Request) to change the ESA status of a queued Debit Items FSI created by the RBA	RBA is originator / Member is payer / update ESA Status with AIF command MT198 SMT004 or MT198 SMT031	Mandatory for Members that will use the either the Change ESA Status Request (MT198 SMT004) or Change ESA and Credit Status Request (MT198 SMT031) AIF command to manage Debit Items FSIs to which it is counterparty
AIF Pre-Settlement Advices				
59	29, 31	Receive and process an AIF Pre-settlement Advice (Credit level) for a Credit Items FSI created by the Member	'MT198 SMT028 Pre Settlement Advice – Credit' is selected / Member is originator & payer	Mandatory for Members that will use the AIF Pre-settlement Advice – Credit (MT198 SMT028) for Credit Items FSIs it originates (ie selected for a Credit Items branch)
60	29, 31	Receive and process an AIF Pre-settlement Advice (ESA level) for a Credit Items FSI created by the Member	'MT198 SMT029 Pre Settlement Advice – ESA' is selected / Member is originator & payer	Mandatory for Members that will use the AIF Pre-settlement Advice – ESA (MT198 SMT029) for FSIs for Credit Items FSIs it originates (ie selected for a Credit Items branch)
61	33	Receive and process AIF Pre-settlement Advice (Credit level) for a Debit Items FSI created by the RBA	'MT198 SMT028 Pre Settlement Advice – Credit' is selected / RBA is originator / Member is payer	Mandatory for Members that will use the AIF Pre-settlement Advice – Credit (MT198 SMT028) for Debit Items FSIs to which it is counterparty (ie selected for a Debit Items branch)
62	33	Receive and process an AIF Pre-settlement Advice (ESA level) for a Debit Items FSI created by the RBA	'MT198 SMT029 Pre Settlement Advice – ESA' is selected / RBA is originator / Member is payer	Mandatory for Members that will use the AIF Pre-settlement Advice – ESA (MT198 SMT029) for Debit Items FSIs to which it is counterparty (ie selected for a Debit Items branch)

Test Case	Linked Test(s)	Test Description	Test Parameters	Required
63	35	Receive and process an AIF Pre-settlement Advice (Credit level) for a clearing interest transaction	'MT198 SMT028 Pre Settlement Advice – Credit' is selected / Member is payer - clearing interest transaction	CINT Mandatory for Members that will use the AIF Pre-settlement Advice – Credit (MT198 SMT028) for clearing interest transactions (ie selected for the branch used for the payment service CINT)
64	35	Receive and process an AIF Pre-settlement Advice (ESA level) for a clearing interest transaction	'MT198 SMT029 Pre Settlement Advice – ESA' is selected / Member is payer - clearing interest transaction	CINT Mandatory for Members that will use the AIF Pre-settlement Advice – ESA (MT198 SMT029) for clearing interest transactions (ie selected for the branch used for the payment service CINT)
AIF Post-Settlement Advices				
65	29, 31	Receive and process an AIF Post-settlement Advice – Debit for a Credit Items FSI created by the Member	'MT198 SMT036 Post Settlement Advice – Debit' is selected / Member is originator & payer	Mandatory for Members that will use the AIF Post-settlement Advice – Debit (MT198 SMT036) for Credit Items FSIs it originates (ie selected for a Credit Items branch)
66	32	Receive and process an AIF Post-settlement Advice – Credit for a Debit Items FSI created by the Member	'MT198 SMT037 Post Settlement Advice – Credit' is selected / Member is originator & payee	Mandatory for Members that will use the AIF Post-settlement Advice – Credit (MT198 SMT037) for Debit Items FSIs it originates (ie selected for a Debit Items branch)
67	33	Receive and process an AIF Post-settlement Advice – Debit for a Debit Items FSI created by the RBA	'MT198 SMT036 Post Settlement Advice – Debit' is selected / RBA is originator & Member is payer	Mandatory for Members that will use the AIF Post-settlement Advice – Debit (MT198 SMT036) for Debit Items FSI to which it is counterparty (ie selected for a Debit Items branch)
68	30, 34	Receive and process an AIF Post-settlement Advice – Credit for a Credit Items FSI created by the RBA	'MT198 SMT037 Post Settlement Advice – Credit' is selected / RBA is originator & Member is payee	Mandatory for Members that will use the AIF Post-settlement Advice – Credit (MT198 SMT036) for Credit Items FSI to which it is counterparty (ie selected for a Credit Items branch)
69	35	Receive and process an AIF Post-settlement Advice – Debit for clearing interest transaction	'MT198 SMT036 Post Settlement Advice – Debit' is selected / Member is payer – clearing interest transaction	CINT Mandatory for Members that will use the AIF Post-settlement Advice – Debit (MT198 SMT036) for clearing interest transactions (ie selected for the branch used for the payment service CINT)

Test Case	Linked Test(s)	Test Description	Test Parameters	Required
70	36	Receive and process an AIF Post-settlement Advice – Credit for clearing interest transaction	'MT198 SMT037 Post Settlement Advice – Credit' is selected / Member is payee – clearing interest transaction	CINT Mandatory for Members that will use the AIF Post-settlement Advice – Credit (MT198 SMT037) for clearing interest transactions (ie selected for the branch used for the payment service CINT)
AIF Member Admin / Unsolicited Advices - Other				
71	15	Receive and process an AIF Unsolicited Recall Advice for an FSI created and recalled by the Member	'MT198 SMT003 Unsolicited Recall Advice' is selected / Member is originator and recalls FSI	Mandatory for Members that have selected the Unsolicited Recall Advice (MT198 SMT003)
72		Receive and process an AIF Unsolicited Recall Advice for an FSI created and recalled by the RBA	'MT198 SMT003 Unsolicited Recall Advice' is selected / RBA is originator & recalls FSI / Member is payer	Mandatory for Members that have selected the Unsolicited Recall Advice (MT198 SMT003)
73	81	Receive and process an AIF Unsolicited Change ESA Advice for an FSI created by the Member where the ESA status is changed by the Member using RITS on-line function	'MT198 SMT006 Unsolicited Change ESA Status Advice' is selected / Member is originator & payer and updates ESA status using RITS function ESA/Credit - Queue Management	Mandatory for Members that have selected the Unsolicited Change ESA Advice (MT198 SMT006)
74	82	Receive and process an AIF Unsolicited Change ESA Advice for an FSI created by the RBA where the ESA status is changed by the Member using RITS on-line function	'MT198 SMT006 Unsolicited Change ESA Status Advice' is selected / RBA is originator / Member is payer and updates ESA status using RITS function 'ESA/Credit - Queue Mgt'	Mandatory for Members that have selected the Unsolicited Change ESA Advice (MT198 SMT006)
75	49	Receive and process an AIF Unsettled Advice (EOD) for an FSI created by the Member	'MT198 SMT038 Unsettled Advice' is selected / Member is originator	Mandatory for Members that have selected the Unsettled Advice (EOD) (MT198 SMT038)
76	50	Receive and process an AIF Unsettled Advice (EOD) for an FSI created by the RBA	'MT198 SMT038 Unsettled Advice' is selected / RBA is originator / Member is payer	Mandatory for Members that have selected the Unsettled Advice (EOD) (MT198 SMT038)

Test Case	Linked Test(s)	Test Description	Test Parameters	Required
AIF Statements				
77	39	Send an ESA Statement Intraday Request (MT920 SMT942) and receive and process an ESA Statement Intraday Response (MT942)	MT942 (intraday statement response) is used in response to MT920 request / Member to reconcile all settled LVSS transaction(s) included in the statement (i.e. all debit & credit items including clearing interest transactions)	Mandatory for Members that use the ESA Statement Intraday Request (MT920 SMT942)
78	39	Receive and process an ESA Interim Statement Advice MT942 SMT001	MT942 SMT001 is selected / Member to reconcile all settled LVSS transaction(s) included in the statement (i.e. all debit & credit items including clearing interest transactions)	Mandatory for Members that have selected the ESA Interim Statement Advice (MT942 SMT001)
79	39	Receive and process an ESA Interim Statement Advice MT950 SMT888	MT950 SMT888 is selected / Member to reconcile all settled LVSS transaction(s) included in the statement (i.e. all debit & credit items including clearing interest transactions)	Mandatory for Members that have selected the ESA Interim Statement Advice (MT950 SMT888)
80	39	Receive and process an ESA Statement End-of-Day Advice MT950 SMT999	MT950 SMT999 is selected /Member to reconcile all settled LVSS transaction(s) included in the statement (i.e. all debit & credit items including clearing interest transactions)	Mandatory for Members that have selected the ESA Statement End-of-Day Advice (MT950 SMT99)

1.4.4 Conditional Category 4 Tests - RITS

Test Case	Linked Test(s)	Test Description	Test Parameters	Required
Queue Management - RITS functional tests				
81	73	Change the ESA status of a queued Credit Items FSI created by the Member using the ESA/Credit Queue Management RITS function	Member is originator & payer / update ESA status in RITS function 'ESA/Credit - Queue Mgt'	This test is required for any LVSS branches of the Paying Member that do not have ESA status overrides of A or P set.

Test Case	Linked Test(s)	Test Description	Test Parameters	Required
82	74	Change the ESA status of a queued Debit Items FSI created by the RBA using the ESA/Credit Queue Management RITS function	RBA is originator / Member is payer / update ESA status in RITS function 'ESA/Credit - Queue Mgt'	This test is required for any LVSS branches of the Paying Member that do not have ESA status overrides of A or P set.
83		Change the Settlement Method of queued LVSS transactions using the Settlement Method Bulk Update RITS function	Member is originator & payer / Settlement Method Bulk Update	One test covering any payment service Mandatory for Members that may use the Bulk Update functionality to change the Settlement Method of queued LVSS transactions

1.4.5 Optional Category 5 Tests – Reject responses

Test Case	Linked Test	Test Description	Test Parameters	Required
Testing of Invalids FSIs and Mandatory Reject Responses by Reject Code - FSRU1 (Rejected)				Optional – may be used by Members that wish to test all possible FSI reject codes
84		Create an FSI with an invalid root element and receive and process an FSRU1 with a reject code of 63	Test of reject code 63 - Invalid root element	
85		Create an FSI with an invalid payment service and receive and process an FSRU1 with a reject code of 64	Test of reject code 64 - Invalid LVSS Payment Service	
86		Create an FSI with an invalid LVSS Settlement Method and receive and process an FSRU1 with a reject code of 65	Test of reject code 65 - Invalid LVSS Settlement Method	
87		Create an FSI with an invalid Cash Account status and receive and process an FSRU1 with a reject code of 66	Test of reject code 66 - Invalid Cash Account status	
88		Create an FSI with an invalid participant and receive and process an FSRU1 with a reject code of 67	Test of reject code 67 - Unauthorised LVSS participant	
89		Create an FSI with an invalid payment date/settlement date combination and receive and process an FSRU1 with a reject code of 68	Test of reject code 68 - Invalid Payment Date/Settlement Date combination	

Test Case	Linked Test	Test Description	Test Parameters	Required
90		Create an FSI with a duplicated TRN and receive and process an FSRU1 with a reject code of 74	Test of reject code 74 – Duplicate TRN	
91		Create an FSI with a settlement date of a day when RITS is closed (eg a weekend) and receive and process an FSRU1 with a reject code of 75	Test of reject code 75 – RTGS Close	
92		Create an FSI with a past settlement date and receive and process an FSRU1 with a reject code of 78	Test of reject code 78 - Value date is prior to current date	
93		Create an FSI with an invalid future settlement date and receive and process an FSRU1 with a reject code of 79	Test of reject code 79 - Value date is more than 7 days in advance of current date	
94		Create an FSI with an invalid ESA status and receive and process an FSRU1 with a reject code of 80	Test of reject code 80 - Invalid ESA status	
95		Create an FSI with an invalid Credit Status and receive and process an FSRU1 with a reject code of 81	Test of reject code 81 - Invalid Credit Status	
96		Create an FSI with an omitted mandatory field and receive and process an FSRU1 with a reject code of 87	Test of reject code 87 – Does not meet message format standards (mandatory field is missing)	
Testing of Invalids FRIs and Mandatory Reject Responses by Reject Code - FRRU1 (Rejected)				Optional – may be used by Members that wish to test all possible FRI reject codes
97		Create an FRI with an invalid root element and receive and process an FRRU1 with a reject code of 63	Test of reject code 63 - Invalid root element	
98		Create an FRI with an invalid participant and receive and process an FRRU1 with a reject code of 67	Test of reject code 67 - Unauthorised LVSS participant	
99		Send an FRI for an FSI that does not exist and receive and process an FRRU1 with a reject code of 70	Test of reject code 70 – Payment Order (Transaction ID) does not exist	

Test Case	Linked Test	Test Description	Test Parameters	Required
100		Create an FRI with a duplicated TRN and receive and process an FRRU1 with a reject code of 74	Test of reject code 74 – Duplicate TRN	
101		Create an FRI with an omitted mandatory field and receive and process an FRRU1 with a reject code of 87	Test of reject code 87 – Does not meet message format standards (mandatory field is missing)	
Failed FRI / Optional FRRU2 selected				Optional – may be used by Members that wish to receive all possible FRRU2 (Failed) reject codes
102		Attempt to recall and FSI while it is being tested in an LVSS Multilateral Run and receive and process an FRRU2 with a reject code of 62	Test of reject code 62 - Unable to process update. LVSS multilateral Settlement Testing in progress	
103		Send an FRI for an FSI that has already been recalled and receive and process an FRRU2 with a reject code of 71	Test of reject code 71 – Payment Order already has this status	
104		Send an FRI for an FSI that has already settled and receive and process an FRRU2 with a reject code of 72	Test of reject code 72 – Payment Order Settled	
105		Send an FRI for an FSI that was removed from the queue as unsettled at end of day and receive and process an FRRU2 with a reject code of 86	Test of reject code 86 – Message unsettled at end of day	