

## **IMPORTANT INFORMATION FOR MEMBERS USING AN LVSS AGENT**

### **FILE NAMES FOR LVSS ADVICES AND RESPONSES FOR MANUALLY ENTERED FSI AND FRI**

This note highlights some differences between the file names for rejects of FSIs and FRIs manually entered into RITS compared to those received for instructions received via a file sent by a member's agent.

Members that use an agent for the LVSS should ensure that their LVSS advice and response routing arrangements will cater for different file name formats.

#### **Executive Summary**

The file name of rejects of message based FSIs and FRIs submitted by an agent (ie where the sender of the file to the RBA is not the same as the Originator within the FSI or FRI) contains the agent's ID. The principal (originator) ID is also given in the reject file name where it is known to RITS.

For rejects of manually entered FSIs and FRIs, an agent manually entering on another member's behalf must be setup as a user of that member. RITS does not know that an agent is entering the FSI or FRI; it sees only that member part of the User ID is the same as the Originator ID. If a manually entered FSI or FRI is rejected, the file name contains only the member's (originator's) ID, and not the agent's.

This means that members using an agent will need to carefully check their LVSS message delivery arrangements.

#### **Naming and Routing of Non-Reject Messages**

For non-reject responses and optional advices, that is, the FSA1, FSA2, FSRs, FSRU2, FSRU3, FRRS, and FRRU2, the file name does not contain the agent ID even where a message based FSI or FRI is sent to the RBA by an agent. The member ID and payment service are used to route a member's messages to their agent (as requested).

Example file name where agent AGNT sends or manually inputs a successful FSI on behalf of member MEMB for the payment service BECS (the same file name format applies to all non-reject messages listed in the paragraph above; only the FRSR is shown here, the other file names are identical except for the first element):

FSRS.L1234567.MEMB.BECS.XML

The same file name format applies to these message types for message based and manually entered FSIs and FRIs. No special arrangements will be required for members using agents.

## **Naming and Routing of FSI Reject Messages**

For FSI reject responses (FSRU1) to message based FSIs, the file name contains the agent's ID if the FSI is sent to the RBA by an agent. Generally, the agent ID in the file name is used to route the message to the sender (ie the agent).

Example file name where agent AGNT sends an invalid FSI on behalf of member MEMB for the payment service BECS:

FSRU1.L1234567.AGNT.BECS.MEMB.XML

For FSI reject responses (FSRU1) to manually entered FSIs, the file name will not contain an agent ID even if the FSI is manually entered by the agent. This is because the agent is set up as a user of the principal, and RITS considers that the principal has entered the FSI, not the agent. However, as the file name includes the payment service, a combination of the member ID and payment service can be used to route the message to the member's agent (as requested).

Example file name where agent AGNT manually inputs an invalid FSI on behalf of member MEMB for the payment service BECS:

FSRU1.L1234567.MEMB.BECS.XML

Where a member has instructed the RBA to deliver their messages for a specified payment service to a particular agent, the RBA will deliver messages in the name format above to the agent. No further action by the member is required for this routing to take place.

If the agent wishes the file name to arrive with the same file name format as for message based FSI rejects, the member can instruct the RBA to rename the FSRU1 to include the agent's ID.

RITS creates with file name: FSRU1.L1234567.MEMB.BECS.XML

RITS delivers with file name: FSRU1.L1234567.AGNT.BECS.MEMB.XML

## **Naming and Routing of FRI Reject Messages**

For FRI reject responses (FRRU1) to message based FRIs, the file name contains the agent's ID if the FRI is sent to the RBA by an agent. Generally, the agent ID is used to route the message to the sender (ie agent).

Example file name where agent AGNT sends an invalid FRI on behalf of member MEMB for the payment service BECS (note that the FRRU1 does not contain the payment service code, as it is not part of the FRI):

FRRU1.L1234567.AGNT.MEMB.XML

For FRI reject responses (FRRU1) to manually entered FRIs, the file name will not contain an agent ID even if the FRI is manually entered by a staff member of an agent. This is because the agent is set up as a user of the principal, and RITS considers that the principal has entered the FRI, not the agent. Note that the file name will not include the payment service.

Example file name where agent AGNT manually inputs an invalid FRI on behalf of member MEMB for the payment service BECS

FRRU1.L1234567.MEMB.XML

The member will need to advise the RBA of a single destination for all FRI reject messages that do not contain an agent ID (which will apply to all rejects of manually entered FRIs and to rejects of any message based FRIs entered by the member). This destination may be the member, or their agent.

Note that a Member that uses more than one agent (or themselves and an agent) for different payment services and that selects to send all FRRU1s to one of those agents should make clear that the agent may well receive FRRU1s that relate to manual FRIs entered by the other agent or that relate to manual or message based FRIs entered by the member.

Alternatively, the member may instruct the RBA to not deliver FRRU1 messages with this file name format (they can be withheld in the RBA's file transfer application). This may be appropriate if the member, or their agent, intends to manually monitor the status of manually entered FRIs and the FRRU1 message will not be used.

### **Action required by members that use an agent for LVSS**

#### FSRU1 – File Settlement Instruction Rejects for manually entered FSIs

Members that have advised the RBA to route their messages for one or more payment services to a particular agent will have these messages for that payment service(s) routed to their nominated agent. No further action is required.

If the agent wishes the FSRU1 to be renamed to the same file name format as for rejects of message based FSIs, the member will need to provide an instruction to do this to the RBA. This can be done in the LVSS Message Delivery Form, by requesting in section 6 "For FSRU1 for payment service [insert required payment service(s)], rename the FSRU1 for manually entered FSIs to be the same as for rejects of FSIs entered by [name of agent].

#### FRRU1 – File Recall Instruction Rejects for manually entered FRIs

Advise the RBA of a single destination for all rejects of manually entered recalls and of recalls entered by the member (not their agent). This may be the member, one of the member's agents or to withhold the message. This can be done in the LVSS Message Delivery Form, by requesting "For FRRU1 messages where no agent is identified in the file name, [route to desired destination or withhold].

Members that have already provided a Production Environment LVSS Message Delivery form will need to submit a new form if they have requirements arising out of this note. The new form should contain all routing requirements.